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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Gunning et al.

Serial No.: 10/531,086

Filed:

June 21, 2005

For:

STEREOSPECIFIC REDUCTION OF

SAPOGEN-3-ONES

Examiner: Not Yet Assigned

Attorney Docket: 8617/94090

> Art Group: 1624

Confirmation No.: 9264

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Commissioner For Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents that may be material to the examination of this application is provided on the attached Form PTO/SB/08. Documents 1 to 34, listed on the attached Form 1449 are U.S. and foreign patent and pertinent articles that may be relevant to the examination of the present application. Copies of Documents 1 to 36 are bound and enclosed herewith.

Documents for which the supplied date of publication lists the year of publication without the month were published sufficiently earlier than the effective U.S. filing date and any foreign priority date, so that the particular month of publication is not in issue. Pursuant to §609 of the MPEP, it is understood

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that the month of publication is not required when the particular month of publication is not in issue.

No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

The cited documents disclose numerous specific features. There has been no attempt to list each and every feature disclosed by each document. The Examiner is requested to review the documents and determine the extent of the materiality of the document disclosures with respect to the present invention.

The discussion of any art and the citation of any document herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and documents recited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

The recitation herein of the art and documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted,

Gerald T. Shekleton, Reg. No. 27,466

Enclosures:
Form PTO/SB/08
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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement, together with the stated enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on March 14, 2007.

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Sheet 1

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Complete if Known Application Number 10/531.086 Filing Date June 21, 2005 First Named Inventor Gunning et al. Art Unit 1624 **Examiner Name** Not Yet Assigned Attorney Docket Number | 8617/94090

STATEMENT BY APPLICANT (use as many sheets as necessary)

of 3

U.S. PATENT DOCUMENTS Cite Document Number Publication Date Name of Patentee or Applicant Pages, Columns, Lines, Where Framinor Relevant Passages or Relevant Figures Appear Initials* No.1 Number - Kind Code? (if MM-DD-YYYY of Cited Document 1 3.875.195 2 6,150,336 3 5,703,052 4 5,807,834 5 5,939,398

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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ² Number ² Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te		
	6	WO-99/48482		-				
	7	WO-99/48507						
	8	WO-01/49703						
	9	WO-02/079221						
	10	WO-01/23406						
	44	WO 08/07741						
	12	WO 02079221A						

	OTHER ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, et.), date, page(s), volume-issue number(s) No.¹ publisher, city and/or country where published.					
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